

09-30-04

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

01933 7590 07/16/2004

**FRISHAUF, HOLTZ, GOODMAN & CHICK, PC  
767 THIRD AVENUE  
25TH FLOOR  
NEW YORK, NY 10017-2023**

10/01/2004 DEMMANU2 00000027 09974511

01 FC:1501	1330.00 OP
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(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/974,511	10/10/2001	Masahiro Kawakami	00447CD/HG	8922

TITLE OF INVENTION: SMELTING REDUCTION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	10/18/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
ANDREWS, MELVYN J	1742	075-380000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list **FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.**

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

NKK CORPORATION

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent):  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

 Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies 7

## 4b. Payment of Fee(s):

 A check in the amount of the fee(s) is enclosed. (Check No. 95458 for

\$1,651.00)

 Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1378 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

 a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

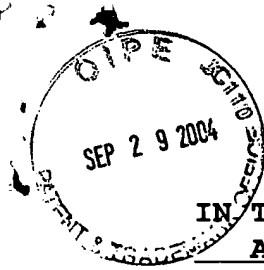
(Authorized Signature) Richard S. Barth (Date) September 29, 2004

Richard S. Barth - Reg. No. 28,180

This collection of information is required by 37 CFR 1.312. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)



**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Appl. No. : 09/974,511

Applicant(s) : Masahiro KAWAKAMI et al

Filed : October 10, 2001

For : SMELTING REDUCTION  
METHOD

Art Unit : 1742

Examiner : Melvyn J. Andrews

Docket No. : 00447CD/HG

Customer No.: 01933

CONFIRMATION NO.: 8922

**LETTER TRANSMITTING  
ISSUE FEE**

Commissioner for Patents  
S I R :

**ATTN.: MAIL STOP ISSUE FEE**

Enclosed is the completed and signed PART B - FEE(S) TRANSMITTAL PTOL-85, together with a check in the amount of \$1,651.00 in payment of the Issue Fee (\$1,330.00), Publication fee (\$300.00) and 7 copies of the printed patent (\$21.00).

Respectfully submitted,

RICHARD S. BARTH  
Reg. No. 28,180

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& Chick, P.C.  
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Francine E. Smith

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